

# 1 Analysis of Algorithms

- how long your code takes to run, with "how long" referring to the number of steps. Then the question remains: what is a step?
- look for rough bounds, depend on size of the problem but don't depend on what computer you have

We say  $f(n)$  is  $O(g(n))$  if there exist constants  $C, N$  such that  $\forall n \geq N$ , we have  $f(n) \leq Cg(n)$ . Or a lower bound  $\Omega$ , then  $f(n) \geq Cg(n)$ .

We say  $f(x)$  is  $\theta(g(x))$  if both  $f(x)$  is  $O(g(x))$  and  $f(x)$  is  $\Omega(g(x))$ .

**Example 1.1** (Bubble Sort Algorithm). Input: list of numbers  $L = [\ell_0, \dots, \ell_n]$ .

Output:  $L$ , but sorted. Repeat the following:

for i from 0 to n-1:

if  $\ell_i > \ell_{i+1}$ :

swap  $\ell_i$  with  $\ell_{i+1}$  in  $L$

Until we get through  $L$  without swapping anything.

Best case scenario:  $L$  is already sorted: then we have 0 swaps and  $n$  comparisons.

Worst case scenario:  $L$  is reverse sorted. Then this algorithm takes  $O(n^2)$  steps. Note the  $n - 1$  in the algorithm: we have a possible optimization by decreasing this value by 1 at each pass. Without this optimization, the  $n$  passes take  $n$  steps each. With this optimization, however,  $n + (n - 1) + (n - 2) + \dots + 2 + 1$  steps  $= \frac{n(n+1)}{2} = O(n^2)$  steps.

In the average case number of swaps: Imagine  $L$  is  $n$  uniform random numbers in  $[0, 1]$ . i.e. the ranking of elements of  $L$  gives a uniform  $\pi \in S_n$ . The average case number of swaps is  $E(inv(\pi)) = \frac{n(n+1)}{4} = O(n^2)$ . Note that the best sorting algorithms are  $\theta(n \log n)$ .

The Euclidean algorithm for the greatest common divisors of two integers has input of two numbers,  $q_0, q_1 \in \mathbb{N}$  with  $q_0 > q_1$ .

Then we write:

$$\begin{aligned}
q_0 &= a_1 q_1 + q_2 \\
q_1 &= a_2 q_2 + q_3 \\
q_2 &= a_3 q_3 + q_4 \\
&\vdots \\
q_{k-1} &= a_k q_k + q_{k+1} \\
q_k &= a_{k+1} q_{k+1}
\end{aligned}$$

So  $q_{k+1}$  is the greatest common divisor of  $(q_0, q_1)$ .

Then the question remains: How long does this algorithm run for? The run time in the worst case situation should be when all of the  $a_i$ s are 1, and when  $q_{k+1} = 1$ . Therefore we look at solutions to the Fibonacci numbers.

$$F_0 = 0, F_1 = 1, F_n = F_{n-1} + F_{n-2}, n \geq 2$$

So how big is  $n$  compared to  $F_n$ ?

If  $G(x) = \sum_{n \geq 0} F_n x^n = 1 + x + x^2 + 2x^3 + 3x^4 + 5x^5 + \dots$ , then check:  $G(x) = \frac{x}{1-x-x^2}$ , then the roots are  $\frac{1+\sqrt{5}}{2} = \varphi$  and  $\frac{1-\sqrt{5}}{2}$ . So  $F_n$  is  $\theta(\varphi^n)$ . i.e.  $n$  is  $\theta(\log_\varphi(F_n))$ .

So the Euclidean Algorithm runs in time  $O(\log(q_0))$ .

**Example 1.2.**  $\begin{bmatrix} a_{11} & \dots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{n1} & \dots & a_{nn} \end{bmatrix} \begin{bmatrix} b_{11} & \dots & b_{1n} \\ \vdots & \ddots & \vdots \\ b_{n1} & \dots & b_{nn} \end{bmatrix} = \begin{bmatrix} \sum_k a_{1k} b_{kj} \\ \vdots \\ \sum_k a_{nk} b_{kj} \end{bmatrix}$  takes

$\theta(n^3)$  multiplications. A faster matrix multiplication algorithm is given by the Strassen Algorithm. Then normally for two matrices  $A = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$  and

$B = \begin{bmatrix} e & f \\ g & h \end{bmatrix}$  multiplying naively takes 8 multiplications ( $2^3$ ). But Strassen found a way to do it with 7 multiplications and more additions, which is faster. See wikipedia for more information.

Note that this also works for block matrices. Recursively we can do this on a  $2^k \times 2^k$  matrix, then the number of steps is  $7^k = 2^{\log_2(7)k}$ . Then if  $n$  is  $2^k$ , then this is  $O(n^{\log_2 7}) \approx O(n^{2.8\dots})$ .

In general, how much faith should we put into analysis of algorithms? Only as much as will help you optimize your programs when necessary.